**Member Firm Singapore Accredited Investor Consent**

***Template Letter from Member Firm to Singapore client who qualifies as an “accredited investor”***

Date: 18/042023

To: Omar alfonso rodriguez borja

CRA 8C1 38B46 BARRANQUILLA COLOMBIA

**Dear Omar**

**OPT-IN PROCESS FOR ACCREDITED INVESTORS**

We are writing to you in connection with your account(s) omar alfonso rodriguez borja with us and through which you may trade on various organised markets, including London Stock Exchange plc (“**LSE**”), Turquoise Global Holdings Limited (“**Turquoise**”) and Turquoise Global Holdings Europe B.V. (“**Turquoise Europe**”) (each a “**Market Operator**”, and collectively “**Market Operators**”).

Under Singapore law, the regime for “accredited investors” as defined under the Securities and Futures Act 2001 of Singapore (the “**SFA**”) involvesan opt-in/opt-out process. In order for us to grant you indirect trading access to Turquoise’s market, and to execute trades on Turquoise, we will require you to first opt-in and consent to be treated as an “accredited investor”.

**Your Accredited Investor Status**

You have been assessed by us to be a person mentioned in Section 4A(1)(a)(i), (ii), (iii) or (iv) of the [SFA](https://sso.agc.gov.sg/Act/SFA2001#pr4-) (please also see Regulation 2(1) and (2) of the [Securities and Futures (Classes of Investors) Regulations 2018](https://sso.agc.gov.sg/SL/SFA2001-S665-2018?DocDate=20181005) in respect of Section 4A(1)(a)(iii) and (iv)), and are therefore eligible to opt into the “accredited investor” status.

You will be required to ***opt into*** the “accredited investor” status for the purposes of the provisions set out in **Schedule 1** (the “**consent provisions**”) in order for us to treat you as an accredited investor for the purposes of these consent provisions.

**Opting In**

You may consent to being treated by us as an accredited investor for the purposes of the consent provisions. If you wish to provide your consent to being treated by us as an accredited investor for the purposes of the consent provisions, please complete the accompanying **Opt-In Confirmation** and return the signed hardcopy or signed electronic copy to [*omar alfonso rodriguez Borja cc 72158895 de Barranquilla colombiahardcopy / email mateo61.a*@hotmail.com [by [*18/04/2023*]]. To assist you in deciding whether to provide such consent, we have set out in **Schedule 1** a summary of the effect of you being treated by us as an accredited investor under the consent provisions and the impact this will have on your trading access to the Market Operators’ markets.

If you do not consent to being treated by us as an accredited investor for the purposes of the consent provisions, we would not be able to grant you indirect trading access to the Market Operators’ markets.

If you consent to being treated by us as an accredited investor for the purposes of the consent provisions, you may at any time withdraw your consent, upon which we must not (after [*omar alfonso rodriguez borja*]) treat you as an accredited investor for the purposes of the consent provisions and will no longer be able to grant you indirect trading access to the Market Operators’ markets.

If you choose to opt into the “accredited investor” status, you consent to us disclosing this to the Market Operators if requested by them in connection with and for their compliance with applicable regulatory requirements.

Should you wish to discuss any of the above, please do not hesitate to contact [s.r .omar alfonso rodriguez Borja .. cra 8c1 38b46 barranquilla Colombia ].

Yours faithfully

[omar alfonso rodriguez Borja *cc 72158895 de barranquila colombia]*

**SCHEDULE 1 – EXPLANATION OF EFFECT OF BEING TREATED AS AN ACCREDITED INVESTOR UNDER THE CONSENT PROVISIONS**

***The following sets out the effect under the consent provisions of you being treated by us as an accredited investor and the impact this will have on your trading access to London Stock Exchange plc (“LSE”), Turquoise Global Holdings Limited (“Turquoise”) and Turquoise Global Holdings Europe B.V. (“Turquoise Europe”) (each a “Market Operator”, and collectively “Market Operators”).***

**General Warning:** Accredited investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as accredited investors therefore forgo the benefit of certain regulatory safeguards. Investors should consult a professional adviser if they do not understand any consequence of being treated as an accredited investor.

***Under the conditions of the Market Operators’ recognition as recognised market operators in Singapore:***

1. **Trading Access for Singapore persons.** Each of the Market Operators may only allow a person in Singapore to trade directly on its organised market if that person is a direct participant. We are a direct participant on the Market Operators’ markets, which provide or intend to provide persons in Singapore with access to trade indirectly on their markets. As such, we have been notified by the Market Operators that we may only provide a person in Singapore with access to such markets through us if that person is a professional investor, accredited investor or expert investor. In addition, the Market Operators are required to take due care that we have in place measures to ensure that we provide access to their markets to persons in Singapore only if such persons are professional investors, accredited investors or expert investors.

***When we deal with you as an accredited investor, we may grant you indirect trading access to execute trades on the organised markets of the Market Operators. As foreign recognised market operators, the Market Operators are subject to lighter touch regulation by the Monetary Authority of Singapore (“MAS”). Accordingly, the regulatory protection under Singapore law accorded to you for trades executed by you on the Market Operators’ markets will not be as extensive as compared to trades executed on an exchange that is regulated by the MAS as an approved exchange in Singapore.***

***Below is a (non-exhaustive) description of some of the differences in regulatory treatment under Singapore law between an approved exchange and a recognised market operator.***

***Approved exchanges are required to establish a fidelity fund for the purpose of compensating investors who suffer losses as a result of defalcation of members of the exchange. An investor may therefore make a claim against an approved exchange for losses arising from trades conducted through members of approved exchange in the event of their defalcation. Unlike approved exchanges, the Market Operator as registered market operators are not required to establish a fidelity fund.***

***Approved exchanges are required to include in their exchange rules specific provisions that have been prescribed by the MAS, such as the criteria for admitting a member, the classes or classes of products to be offered and traded on the approved exchange, and measures to prevent and deal with market manipulation, market rigging and artificial market conditions in the organised market operated by the approved exchange. Before the rules of an approved exchange can be amended, the MAS must be notified and the members of the approved exchange must be consulted. The Market Operators as recognised market operators will not be subject to these regulatory requirements. Consequently, any changes to the rules of Market Operators will not have to be notified to the MAS and the Market Operators would not have to consult us or other member firms on such changes.***

***Approved exchanges are additionally subject to corporate governance requirements, which include specific requirements on the composition of the board of directors, types of committees that the board of directors may have and the composition of these committees. The appointment of key persons, such as the chief executive officer and directors, of an approved exchange is also subject to the prior approval of the MAS. Certain changes in shareholding of an approved exchange also have to be approved by the MAS – broadly speaking, any person who proposes to acquire (alone or with its associates) a shareholding interest of 5%, 12% or 20% in an approved exchange will have to first obtain the MAS’ approval, and the MAS will not grant such approval unless it is satisfied that the person is fit and proper to be a shareholder. The Market Operators as recognised market operators are not subject to these corporate governance requirements, and the prior approval of the MAS will not be required for appointment of their key officers or changes in their shareholders.***

***Approved exchanges are subject to stricter capital requirements than recognised market operators. An approved exchange must maintain minimum base capital of S$10 million. The capital requirements for recognised market operators can vary from case to case, but are significantly lower than the required base capital for approved exchanges.***

To: *omar alfonso rodriguez borja*

Cra 8c1 38b46 barranquilla atalntico Colombia

Attn: omar alfonso rodriguez borja

**OPT-IN CONFIRMATION**

I/We refer to your letter [dated [*18/04/2023*]] (the “**Letter**”) relating to the opt-in process for “accredited investors”.

I/We understand that I/we have been assessed by you to be a person mentioned in Section 4A(1)(a)(i), (ii), (iii) or (iv) of the Securities and Futures Act 2001 of Singapore (as set out in **Schedule 1** to the Letter), and am/are hence eligible to opt into the “accredited investor” status.

I/We confirm that I/we know and understand the consequences of consenting to being treated by you as an accredited investor for the purposes of the consent provisions described in **Schedule 2** to the Letter and the impact this will have on our trading access to the organised markets of London Stock Exchange plc, Turquoise Global Holdings Limited and Turquoise Global Holdings Europe B.V. (the “Market Operators").

I/We hereby consent to being treated by you as an accredited investor for the purposes of the consent provisions.

I/We further understand that I/we may at any time withdraw my/our consent to be treated as an accredited investor for the purposes of all of the consent provisions, upon which you must not (after 18/04/2023hora4.00 treat me/us as an accredited investor for the purposes of the consent provisions and may therefore restrict my/our indirect trading access to the organised markets of the Market Operators.

I/We understand that I/we can withdraw my/our consent by notifying certificado de stock enchange London in writing. I/We understand that you will notify me/us once my/our withdrawal has been processed by you and my/our investor status has been updated in your records, upon which you will not treat me/us as an accredited investor for the purposes of the consent provisions and will proceed to limit my indirect trading access to the organised markets of the Market Operators. I/We acknowledge and agree that until such time, I/we would still be treated as an accredited investor by you for the purposes of all of the consent provisions and may continue to be granted indirect trading access to the organised markets of the Market Operators.

**Consent to Disclosure**

I/We consent to you disclosing the fact that I/we have consented to be treated as an accredited investor, as specified above, to the Market Operators if requested for by them in connection with and for their compliance with applicable regulatory requirements.

Signature:

Omar alfonso rodriguez borja

Name:

Omar alfonso rodriguez borja

Designation cra 8c1 38b46 barranquilla colombia

Date:19/04/2023